

# CRC 3<sup>rd</sup> Year Reviews



## Benefits to CRCs:

- Industry Survey Tool which comprehensively addresses and extends DEST requirements
- Industry Survey Tool can be readily tailored to the particular needs of individual CRCs and industries
- Encourages a high level of stakeholder engagement – Participants, industry users and researchers
- Covers product, process and service innovation; industry development and public and social benefits
- Acknowledges the various interests of participants, research users and researchers in a realistic manner

In 2006 RMDSTEM assisted four CRCs with Third Year reviews.

The requirements of a Third Year Review are prescribed by DEST, and broadly include an Industry Survey to assess the level of satisfaction with the CRC's performance to date, and a formal review by a Panel, appointed by the CRC.

The Industry Survey is usually conducted by an independent third party, and informs the review undertaken by the Panel. Most of RMDSTEM's Third Year Review work has been conducting Industry Surveys. This involves consulting with a range of key informants (industry representatives, researchers, others) using an agreed Survey Form, followed by analysis and reporting of results.

## Industry Survey

When designing the Industry Survey there are 3 key issues for CRCs to decide:

- 1 Who (which individuals/ organisations) should be surveyed?
- 2 What issues should be discussed? (Issues are largely determined by DEST, but relative emphasis and detail can vary). Considerations include:
  - Is it necessary to differentiate the types of participant involved in the CRC? Should there be a single Survey for all types of industry/ participant, or should there be additional modules inserted?
  - How much should the Industry Survey cover, and how much will the Panel cover separately?
  - Is it necessary to select different respondents (ie in one organisation) to address governance issues and project/ performance issues?
  - Should the Survey have a 'project', or wider CRC 'programs' focus?
  - What level of detail is required, and quantitative versus qualitative?
  - How can bias in survey processes be addressed/ recognised (eg. include 'suggestions for improvement' to assist in qualifying responses)?
  - What should be the focus on outcomes and potential benefits, in addition to satisfaction with performance to date?
- 3 What form of reporting is required? (This needs to consider the Panel's requirements, as well as the CRC's internal requirements)

## Topics to be addressed in the Survey

Consistent with DEST requirements, the Survey will address the level of satisfaction with:

- Governance and management arrangements.
- Collaboration between the CRC and the participant.
- Commercialisation – utilisation and communication strategies.
- IP management and IP sharing arrangements.
- Financial management and resource allocation to various activities.
- CRC's understanding of industry research needs, ability to meet these needs, and the relevance of the CRC's research to the organisation.
- CRC's path to adoption.
- Commercialisation arrangements
- Effectiveness of the CRC's mechanisms to transfer technology.
- Effectiveness of the CRC's communications.
- Education and training activities.
- Benefits to date, and potential - public and social / externalities; industry wide, job creation

## Why RMDSTEM?

RMDSTEM provides a totally independent Review, based on a rigorous quantitative and qualitative Industry Survey, drawing on its considerable experience consulting to CRCs, and related industries.

In addition to DEST's requirements, RMDSTEM adds value by strategically interpreting Survey results, thus assisting CRCs to increase the expected value of their research portfolios.

## RMDSTEM CRC Clients (Third Year Review)

- CRC Mining
- CRC for Integrated Engineering Asset Management
- Environmental Biotechnology CRC
- CRC for Sustainable Resource Processing